## Concurrency theory

## Its, bisimulation and contextual equivalences



## A historical perspective

CSP Hoare defined the semantics of CSP using an axiomatic approach (problem: you cannot execute a program);

CCS Milner defined the operational semantics of CCS in term of a labelled transition system and associated bisimilarity;
...several attempts to handle mobility algebraically led to...
pi-calculus Milner, Parrow and Walker introduced the pi-calculus. They defined its semantics along the lines of research on CCS, that is, before defining the reduction semantics, they defined an LTS...

## Lifting CCS techniques to name-passing <br> is not straightforward

Actually, the original paper on pi-calculus defines two LTSs (excerpts):
Early LTS

> Late LTS

$$
\begin{aligned}
& \bar{x}\langle v\rangle . P \xrightarrow{\bar{x}\langle v\rangle} P \\
& x(y) . P \xrightarrow{x(v)} P\{v / y\} \\
& P \xrightarrow{\bar{x}\langle v\rangle} P^{\prime} \quad Q \xrightarrow{x(v)} Q^{\prime} \\
& P\left\|Q \xrightarrow{\tau} P^{\prime}\right\| Q^{\prime} \\
& \bar{x}\langle v\rangle . P \xrightarrow{\bar{x}\langle v\rangle} P \\
& x(y) . P \xrightarrow{x(y)} P \\
& P \xrightarrow{\bar{x}\langle v\rangle} P^{\prime} \quad Q \xrightarrow{x(y)} Q^{\prime} \\
& P\left\|Q \xrightarrow{\tau} P^{\prime}\right\| Q^{\prime}\{v / y\}
\end{aligned}
$$

These LTSs define the same $\tau$-transitions, where is the problem?

## Problem

Definition: Weak bisimilarity, denoted $\approx$, is the largest symmetric relation such that whenever $P \approx Q$ and $P \xrightarrow{\ell} P^{\prime}$ there exists $Q^{\prime}$ such that $Q \xlongequal{\hat{\ell}} Q^{\prime}$ and $P^{\prime} \approx Q^{\prime}$.

But the bisimilarity built on top of them observe all the labels: do the resulting bisimilarities coincide? No!

Which is the right one? Which is the role of the LTS?

## Back to CCS - reductions

Syntax:

Reduction semantics:

$$
a . P\|\bar{a} . Q \rightarrow P\| Q \quad \frac{P \rightarrow P^{\prime}}{(\boldsymbol{\nu} a) P \rightarrow(\boldsymbol{\nu} a) P^{\prime}} \quad \frac{P \equiv P^{\prime} \rightarrow Q^{\prime} \equiv Q}{P \rightarrow Q}
$$

where $\equiv$ is defined as:

$$
\begin{gathered}
P\|\mathbf{0} \equiv P \quad P\| Q \equiv Q\|P \quad(P \| Q)\| R \equiv P \|(Q \| R) \\
(\boldsymbol{\nu} a) P \| Q \equiv(\boldsymbol{\nu} a)(P \| Q) \text { if } a \notin \operatorname{fn}(Q)
\end{gathered}
$$

## Back to CCS - observational equivalence

Let reduction barbed congruence, denoted $\simeq$, be the largest symmetric relation over processes that is
preserved by contexts: if $P \simeq Q$ then $C[P] \simeq C[Q]$ for all contexts $C[-]$.
barb preserving: if $P \simeq Q$ and $P \downarrow_{n}$, then $Q \Downarrow_{n}$.
Remark:
$P \downarrow n \quad$ holds if $\quad P \equiv(\boldsymbol{\nu} \tilde{a})\left(n . P^{\prime} \| P^{\prime \prime}\right)$ or $P \equiv(\boldsymbol{\nu} \tilde{a})\left(\bar{n} . P^{\prime} \| P^{\prime \prime}\right)$ with $n \notin\{\tilde{a}\}$
and $P \Downarrow n$ holds if there exists $P^{\prime}$ such that $P \rightarrow \rightarrow^{*} P^{\prime}$ and $P^{\prime} \downarrow n$.
reduction closed: if $P \simeq Q$ and $P \rightarrow P^{\prime}$, then there is a $Q^{\prime}$ such that $Q \rightarrow{ }^{*} Q^{\prime}$ and $P^{\prime} \simeq Q^{\prime}\left(\rightarrow^{*}\right.$ is the reflexive and transitive closure of $\left.\rightarrow\right)$.

## The role of bisimilarity

Observation: the definition of bisimilarity does not involve a universal quantification over all contexts!

Question: is there any relationship between (weak) bisimilarity and reduction barbed congruence?

## Theorem:

1. $P \approx Q$ implies $P \simeq Q \quad$ (soundness of bisimilarity);
2. $P \simeq Q$ implies $P \approx Q$ (completeness of bisimilarity).

Point 2. does not hold in general.
Point 1. ought to hold (otherwise your LTS/bisimilarity is very odd!).

## Soundness and completeness for a fragment of CCS

Consider the fragment of CCS without sums and replication:

$$
\begin{array}{ccc}
a . P \xrightarrow{a} P & \bar{a} \cdot P \xrightarrow{\bar{a}} P & \xrightarrow[\longrightarrow]{P \xrightarrow{a} P^{\prime} Q \xrightarrow{\bar{a}} Q^{\prime}} \\
\begin{array}{ll}
P\left\|Q \xrightarrow{\tau} P^{\prime}\right\| Q^{\prime}
\end{array} \\
P\left\|Q \xrightarrow{\ell} P^{\prime} P^{\prime}\right\| Q & \frac{P \xrightarrow{\ell} P^{\prime} a \notin \mathrm{fn}(\ell)}{(\boldsymbol{\nu} a) P \xrightarrow{\ell}(\boldsymbol{\nu} a) P^{\prime}} & \text { symmetric rules omitted. }
\end{array}
$$

Barbs are defined as $P \downarrow a$ iff $P \equiv(\boldsymbol{\nu} \tilde{n})\left(a \cdot P^{\prime} \| P^{\prime \prime}\right)$ or $P \equiv(\boldsymbol{\nu} \tilde{n})\left(\bar{a} \cdot P^{\prime} \| P^{\prime \prime}\right)$ for $a \notin \tilde{n}$.

## Soundness of weak bisimilarity: $P \approx Q$ implies $P \simeq Q$.

Proof We show that $\approx$ is contextual, barb preserving, and reduction closed.

Contextuality of $\approx$ can be shown by induction on the structure of the contexts, and by case analysis of the possible interactions between the processes and the contexts. (Congurence of bisimilarity).

Suppose that $P \approx Q$ and $P \downarrow a$. Then $P \equiv(\boldsymbol{\nu} \tilde{n})\left(a . P_{1} \| P_{2}\right)$, with $a \notin \tilde{n}$. We derive $P \xrightarrow{a}(\boldsymbol{\nu} \tilde{n})\left(P_{1} \| P_{2}\right)$. Since $P \approx Q$, there exists $Q^{\prime}$ such that $Q \stackrel{a}{\Longrightarrow} Q^{\prime}$, that is $Q \xrightarrow{\tau} Q^{\prime \prime} \xrightarrow{a} \ldots$ But $Q^{\prime \prime}$ must be of the form $(\boldsymbol{\nu} \tilde{m})\left(a \cdot Q_{1} \| Q_{2}\right)$ with $a \notin \tilde{m}$. This implies that $Q^{\prime \prime} \downarrow a$, and in turn $Q \Downarrow a$, as required.

Suppose that $P \approx Q$ and $P \rightarrow P^{\prime}$. We have that $P \xrightarrow{\tau} P^{\prime \prime} \equiv P^{\prime}$. Since $P \approx Q$, there exists $Q^{\prime}$ such that $Q \xrightarrow{\tau}{ }^{*} Q^{\prime}$ and $P^{\prime} \equiv P^{\prime \prime} \approx Q^{\prime}$. Since $Q \xrightarrow{\tau}{ }^{*} Q^{\prime}$ it holds that $Q \rightarrow Q^{\prime}$. Since $P^{\prime} \equiv P^{\prime \prime}$ implies $P^{\prime} \approx P^{\prime \prime}$, by transitivity of $\approx$ we conclude $P^{\prime} \approx Q^{\prime}$, as required.

## Completeness of weak bisimilarity: $P \simeq Q$ implies $P \approx Q$.

Proof We show that $\simeq$ is a bisimulation.
Suppose that $P \simeq Q$ and $P \xrightarrow{a} P^{\prime}$ (the case $P \simeq Q$ and $P \xrightarrow{\tau} P^{\prime}$ is easy). Let

$$
\begin{aligned}
C_{a}[-] & =-\| \bar{a} . d & \text { Flip } & =\bar{d} \cdot(o \oplus f) \\
C_{\bar{a}}[-] & =-\| a . d & -{ }_{1} \oplus-{ }_{2} & =(\boldsymbol{\nu} z)\left(z .-_{1}\left\|z \cdot-_{2}\right\| \bar{z}\right)
\end{aligned}
$$

where the names $z, o, f, d$ are fresh for $P$ and $Q$.
Lemma 1. $C_{a}[P] \rightarrow^{*} P^{\prime} \| d$ if and only if $P \xlongequal{a} P^{\prime}$. Similarly for $C_{\bar{a}}[-]$.
Since $\simeq$ is contextual, we have $C_{a}[P] \|$ Flip $\simeq C_{a}[Q] \|$ Flip. By Lemma 1. we have $C_{a}[P] \|$ Flip $\rightarrow^{*} P_{1} \equiv P^{\prime}\|o\|(\boldsymbol{\nu} z) z . f$.

Lemma 2. If $P \simeq Q$ and $P \rightarrow{ }^{*} P^{\prime}$ then there exists $Q^{\prime}$ such that $Q \rightarrow{ }^{*} Q^{\prime}$ and $P^{\prime} \simeq Q^{\prime}$.

By Lemma 2. there exists $Q_{1}$ such that $C_{a}[Q] \|$ Flip $\rightarrow^{*} Q_{1}$ and $P_{1} \simeq Q_{1}$. Now, $P_{1} \downarrow o$ and $P_{1} \Downarrow f$. Since $\simeq$ is barb preserving, we have $Q_{1} \Downarrow o$ and $Q_{1} \Downarrow f$. The absence of the barb $f$ implies that the $\oplus$ operator reduced, and in turn that the $d$ action has been consumed: this can only occur if $Q$ realised the $a$ action. Thus we can conclude $Q_{1} \equiv Q^{\prime}\|o\|(\boldsymbol{\nu} z) z . f$, and by Lemma 1 . we also have $Q \stackrel{a}{\Longrightarrow} Q^{\prime}$.

It remains to show that $P^{\prime} \simeq Q^{\prime}$.
Lemma 3. $(\nu z) z . P \simeq 0$.
Since $P_{1} \simeq Q_{1}$ and $\simeq$ is contextual, we have $(\boldsymbol{\nu} o) P_{1} \simeq(\boldsymbol{\nu} o) Q_{1}$. By Lemma 3., we have
$P^{\prime} \simeq P^{\prime}\|(\boldsymbol{\nu} o) o\|(\boldsymbol{\nu} z) z . f \equiv(\boldsymbol{\nu} o) P_{1} \simeq(\boldsymbol{\nu} o) Q_{1} \equiv Q^{\prime}\|(\boldsymbol{\nu} o) o\|(\boldsymbol{\nu} z) z \cdot f \simeq Q^{\prime}$.
The equivalence $P^{\prime} \simeq Q^{\prime}$ follows because $\equiv \subseteq \simeq$ and $\simeq$ is transitive.
Exercise: explain the role of the Flip process.

## LTSs revisited

Reduction barbed congruence involves a universal quantification over all contexts. Weak bisimilarity does not, yet bisimilarity is a sound proof technique for reduction barbed congruence. How is this possible?

An LTS captures all the interactions that a term can have with an arbitrary context. In particular, each label correspond to a minimal context.

For instance, in CCS, $P \xrightarrow{a} P^{\prime}$ denotes the fact that $P$ can interact with the context $C[-]=-\| \bar{a}$, yielding $P^{\prime}$.

And $\tau$ transitions characterises all the interactions with an empty context.

## Pi-calculus: labels

Given a process $P$, which are the contexts ${ }^{1}$ that yield a reduction?

- if $P \equiv(\boldsymbol{\nu} \tilde{n})\left(\bar{x}\langle v\rangle . P_{1} \| P_{2}\right)$ with $x, v \notin \tilde{n}$, then $P$ interacts with the context

$$
C[-]=-\| x(y) \cdot Q
$$

yielding:

$$
C[P] \rightarrow \underbrace{(\boldsymbol{\nu} \tilde{n})\left(P_{1} \| P_{2}\right)}_{P^{\prime}} \| Q\{v / y\}
$$

We record this interaction with the label $\bar{x}\langle v\rangle: P \xrightarrow{\bar{x}\langle v\rangle} P^{\prime}$.

[^0]- if $P \equiv(\boldsymbol{\nu} \tilde{n})\left(x(y) . P_{1} \| P_{2}\right)$ with $x \notin \tilde{n}$, then $P$ interacts with the context

$$
\begin{gathered}
C[-]=-\| \bar{x}\langle v\rangle \cdot Q \quad \text { for } v \notin \tilde{n} \text {, yielding: } \\
C[P] \rightarrow \underbrace{(\boldsymbol{\nu} \tilde{n})\left(P_{1}\{v / y\} \| P_{2}\right)}_{P^{\prime}} \| Q
\end{gathered}
$$

We record this interaction with the label $x(v): P \xrightarrow{x(v)} P^{\prime}$

- If $P \rightarrow P^{\prime}$, then $P$ reduces without interacting with a context $C[-]=-\| Q$ :

$$
C[P] \rightarrow P^{\prime} \| Q
$$

We record this interaction with the label $\tau: P \xrightarrow{\tau} P^{\prime}$.

## Intermezzo

What if we define a labelled bisimilarity using the previous labels?
Consider the processes:

$$
P=(\boldsymbol{\nu} v) \bar{x}\langle v\rangle \quad \text { and } \quad Q=\mathbf{0}
$$

Obviously, $P \not \not 二 Q$ because $P \downarrow x$ while $Q \Downarrow x$.
But both $P$ and $Q$ realise no labels: they are equated by the bisimilarity.
The bisimilarity is not sound!
Maybe we forgot a label...

## The missing interaction

- if $P \equiv(\boldsymbol{\nu} \tilde{n})\left(\bar{x}\langle v\rangle . P_{1} \| P_{2}\right)$ with $x \notin \tilde{n}$ and $v \in \tilde{n}$, then $P$ interacts with the context

$$
C[-]=-\| x(y) \cdot Q
$$

yielding:

$$
C[P] \rightarrow(\boldsymbol{\nu} v)(\underbrace{(\boldsymbol{\nu} \tilde{n} \backslash v)\left(P_{1} \| P_{2}\right)}_{P^{\prime}} \| Q\{v / y\})
$$

We record this interaction with the label $(\boldsymbol{\nu} v) \bar{x}\langle v\rangle: P \xrightarrow{(\boldsymbol{\nu} v) \bar{x}\langle v\rangle} P^{\prime}$. Intuition: in $P^{\prime}$ the scope of $v$ has been opened.

## Summary of actions

| $\ell$ | kind | $\mathrm{fn}(\ell)$ | $\mathrm{bn}(\ell)$ | $\mathrm{n}(\ell)$ |
| :---: | :--- | :---: | :---: | :---: |
| $\bar{x}\langle y\rangle$ | free output | $\{x, y\}$ | $\emptyset$ | $\{x, y\}$ |
| $(\boldsymbol{\nu} y) \bar{x}\langle y\rangle$ | bound output | $\{x\}$ | $\{y\}$ | $\{x, y\}$ |
| $x(y)$ | input | $\{x, y\}$ | $\emptyset$ | $\{x, y\}$ |
| $\tau$ | internal | $\emptyset$ | $\emptyset$ | $\emptyset$ |

## Pi-calculus: LTS

$$
\begin{aligned}
& \bar{x}\langle v\rangle . P \xrightarrow{\bar{x}\langle v\rangle} P \quad x(y) . P \xrightarrow{x(v)} P\{v / y\} \quad \xrightarrow{P\left\|Q \xrightarrow{\bar{x}\langle v\rangle} P^{\prime} P \xrightarrow{x(v)} P^{\prime}\right\| Q^{\prime}}
\end{aligned}
$$

$$
\begin{aligned}
& P\left\|Q \xrightarrow{\ell} P^{\prime}\right\| Q \quad(\boldsymbol{\nu} v) P \xrightarrow{\ell}(\boldsymbol{\nu} v) P^{\prime} \quad!P \xrightarrow{\ell} P^{\prime} \\
& P \xrightarrow{\bar{x}\langle v\rangle} P^{\prime} \quad x \neq v \quad P \xrightarrow{(\nu v) \bar{x}\langle v\rangle} P^{\prime} \quad Q \xrightarrow{x(v)} Q^{\prime} \quad v \notin \operatorname{fn}(Q) \\
& (\boldsymbol{\nu} v) P \xrightarrow{(\boldsymbol{\nu} v) \bar{x}\langle v\rangle} P^{\prime} \\
& P \| Q \xrightarrow{\tau}(\boldsymbol{\nu} v)\left(P^{\prime} \| Q^{\prime}\right)
\end{aligned}
$$

## Pi-calculus: bisimilarity

We can define bisimilarity for pi-calculus in the standard way.
Let $\xrightarrow{\hat{\ell}}$ be $\xrightarrow{\tau}{ }^{*} \ell \overbrace{}^{*}$ if $\ell \neq \tau$, and $\xrightarrow{\tau}$ otherwise.

Definition: Weak bisimilarity, denoted $\approx$, is the largest symmetric relation such that whenever $P \approx Q$ and $P \xrightarrow{\ell} P^{\prime}$ there exists $Q^{\prime}$ such that $Q \stackrel{\hat{\ell}}{\Longrightarrow} Q^{\prime}$ and $P^{\prime} \approx Q^{\prime}$.

## Last week examples

1. $\bar{x}\langle y\rangle \not \approx \mathbf{0}$ : trivial because $\bar{x}\langle y\rangle \xrightarrow{\bar{x}\langle y\rangle}$ and $\mathbf{0} \xrightarrow{\bar{x}\langle y\rangle}$.
2. $(\boldsymbol{\nu} x) \bar{x}\rangle . R \approx \mathbf{0}$ : the relation $\mathcal{R}=\{((\boldsymbol{\nu} x) \bar{x}\langle \rangle \cdot R, \mathbf{0})\}=$ is a bisimulation.
3. $(\boldsymbol{\nu} x)\left(\bar{x}\langle y\rangle \cdot R_{1} \| x(z) \cdot R_{2}\right) \approx(\boldsymbol{\nu} x)\left(R_{1} \| R_{2}\left\{{ }^{y} / z\right\}\right)$

The relation

$$
\mathcal{R}=\left\{\left((\boldsymbol{\nu} x)\left(\bar{x}\langle y\rangle \cdot R_{1} \| x(z) \cdot R_{2}\right),(\boldsymbol{\nu} x)\left(R_{1} \| R_{2}\{y / z\}\right)\right)\right\}^{=} \cup \mathcal{I}
$$

is a bisimulation.
$\mathcal{I}$ is the identity relation over processes, and $\mathcal{R}^{=}$denotes the symmetric closure of $\mathcal{R}$.

## Subtleties of pi-calculus LTS

Exercise: derive a $\tau$ transition corresponding to this reduction:

$$
(\boldsymbol{\nu} x) \bar{a}\langle x\rangle \cdot P \| a(y) \cdot Q \rightarrow(\boldsymbol{\nu} x)(P \| Q\{x / y\})
$$

Exercise: each side condition in the definition of the LTS is needed to have the theorem

$$
P \rightarrow Q \text { iff } P \xrightarrow{\tau} \equiv Q
$$

Remove on side condition at a time and find counter-examples to this theorem.

## Weak bisimulation is a sound proof technique for reduction barbed congruence

- Prove that weak bisimulation is reduction closed.

...at the blackboard

- Prove that weak bisimulation is barb preserving.
...at the blackboard
- Prove that weak-bisimulation is a congruence.
...ahem, think twice...


## On soundness of weak bisimilarity

Exercise: Consider the terms (in a pi-calculus extended with + ):

$$
\begin{aligned}
P & =\bar{x}\langle v\rangle \| y(z) \\
Q & =\bar{x}\langle v\rangle . y(z)+y(z) \cdot \bar{x}\langle v\rangle
\end{aligned}
$$

1. Prove that $P \approx Q^{2}$.
2. Does $P \simeq Q ?^{3}$
[^1]
## Bisimilarity is not a congruence

In pi-calculus, bisimilarity (both strong and weak) is not preserved by input prefixes, that is contexts of the form $C[-]=x(y)$. -. When we built the labels, we forgot the contexts which can interact with the process by changing its internal structure.

Question: how to recover the soundness of the bisimilarity with respect to the reduction barbed congruence? Two solutions:

1. close the reduction barbed congruence under all non input prefix contexts;
2. close the bisimilarity under substitution: let $P \approx^{c} Q$ ( $P$ is fully bisimilar with $Q$ ) if $P \sigma \approx Q \sigma$ for all substitutions $\sigma$.

Exercise: Show that $P \not \nsim^{c} Q$, where $P$ and $Q$ are defined in the previous slide.

## And completeness?

Completeness of bisimulation with respect to barbed congruence ${ }^{4}$ (closed under non-input prefixes, denoted $\simeq^{-}$) holds in the strong case. In the weak case, we have that for

$$
P=\bar{a}\langle x\rangle\left\|E_{x y} \quad Q=\bar{a}\langle y\rangle\right\| E_{x y}
$$

where

$$
E_{x y}=!x(z) \cdot \bar{y}\langle z\rangle \|!y(z) \cdot \bar{x}\langle z\rangle
$$

it holds that $P \not \approx Q$ but $P \simeq^{-} Q$ for each context $C[-]$.
Completeness (for image-finite processes) holds if a name-matching operator is added to the language.

[^2]
## Asynchronous communication

CCS and pi-calculus (and many others) are based on synchronized interaction, that is, the acts of sending a datum and receiving it coincide:

$$
\bar{a} . P\|a \cdot Q \rightarrow P\| Q .
$$

In real-world distributed systems, sending a datum and receiving it are distinct acts:

$$
\bar{a} . P\|a \cdot Q \ldots \rightarrow \ldots \bar{a}\| P\left\|a \cdot Q \ldots \rightarrow \ldots P^{\prime}\right\| Q .
$$

In an asynchronous world, the prefix . does not express temporal precedence.

## Asynchronous interaction made easy

Idea: the only term than can appear underneath an output prefix is $\mathbf{0}$.
Intuition: an unguarded occurence of $\bar{x}\langle y\rangle$ can be thought of as a datum $y$ in an implicit communication medium tagged with $x$.

Formally:

$$
\bar{x}\langle y\rangle \| x(z) . P \rightarrow P\{y / z\} .
$$

We suppose that the communication medium has unbounded capacity and preserves no ordering among output particles.

## Asynchronous pi-calculus

Syntax:

$$
P::=\mathbf{0}|x(y) . P \quad| \bar{x}\langle y\rangle \left\lvert\, \begin{array}{ll|l|l} 
& P \| P \mid & (\boldsymbol{\nu} x) P \mid & \mid P
\end{array}\right.
$$

The definitions of free and bound names, of structural congruence $\equiv$, and of the reduction relation $\rightarrow$ are inherited from pi-calculus.

## Examples

Sequentialization of output actions is still possible:

$$
(\boldsymbol{\nu} y, z)(\bar{x}\langle y\rangle\|\bar{y}\langle z\rangle\| \bar{z}\langle a\rangle \| R)
$$

Synchronous communication can be implemented by waiting for an acknoledgement:

$$
\begin{aligned}
\llbracket \bar{x}\langle y\rangle \cdot P \rrbracket & =(\boldsymbol{\nu} u)(\bar{x}\langle y, u\rangle \| u() \cdot P) \\
\llbracket x(v) \cdot Q \rrbracket & =x(v, w) \cdot(\bar{w}\langle \rangle \| Q) \quad \text { for } w \notin Q
\end{aligned}
$$

Exercise: implement synchronous communication without relying on polyadic primitives.

## Contextual equivalence and asynchronous pi-calculus

It is natural to impose two constraints to the basic recipe:

- compare terms using only asynchronous contexts;
- restrict the observables to be co-names. To observe a process is to interact with it by performing a complementary action and reporting it: in asynchronous pi-calculus input actions cannot be observed.


## A peculiarity of synchronous equivalences

The terms

$$
\begin{aligned}
P & =!x(z) \cdot \bar{x}\langle z\rangle \\
Q & =\mathbf{0}
\end{aligned}
$$

are not reduction barbed congruent, but they are asynchronous reduction barbed congruent.

Intuition: in an asynchronous world, if the medium is unbound, then buffers do not influence the computation.

## A proof method

Consider now the weak bisimilarity $\approx_{s}$ built on top of the standard (early) LTS for pi-calculus. As asynchronous pi-calculus is a sub-calculus of pi-calculus, $\approx_{s}$ is an equivalence for asynchronous pi-calculus terms.

It holds $\approx_{s} \subseteq \simeq$, that is the standard pi-calculus bisimilarity is a sound proof technique for $\simeq$.

But

$$
!x(z) \cdot \bar{x}\langle z\rangle \not \chi_{s} \mathbf{0} .
$$

Question: can a labelled bisimilarity recover the natural contextual equivalence?

## A problem and two solutions

Transitions in an LTS should represent observable interactions a term can engage with a context:

- if $P \xrightarrow{\bar{x}\langle y\rangle} P^{\prime}$ then $P$ can interact with the context $-\| x(u)$.beep, where beep is activated if and only if the output action has been observed;
- if $P \xrightarrow{x(y)} P^{\prime}$ then in no way beep can be activated if and only if the input action has been observed!

Solutions:

1. relax the matching condition for input actions in the bisimulation game;
2. modify the LTS so that it precisely identifies the interactions that a term can have with its environment.

## Amadio, Castellani, Sangiorgi - 1996

Idea: relax the matching condition for input actions.
Let asynchronous bisimulation $\approx_{a}$ be the largest symmetric relation such that whenever $P \approx_{a} Q$ it holds:

1. if $P \xrightarrow{\ell} P^{\prime}$ and $\ell \neq x(y)$ then there exists $Q^{\prime}$ such that $Q \stackrel{\hat{\ell}}{\Longrightarrow} Q^{\prime}$ and $P^{\prime} \approx_{a} Q^{\prime}$;
2. if $P \xrightarrow{x(y)} P^{\prime}$ then there exists $Q^{\prime}$ such that $Q \| \bar{x}\langle y\rangle \Longrightarrow Q^{\prime}$ and $P^{\prime} \approx_{a} Q^{\prime}$.

Remark: $P^{\prime}$ is the outcome of the interaction of $P$ with the context $-\| \bar{x}\langle y\rangle$. Clause 2. allows $Q$ to interact with the same context, but does not force this interaction.

## Honda, Tokoro - 1992

$$
\begin{aligned}
& \bar{x}\langle y\rangle \xrightarrow{\bar{x}\langle y\rangle} \mathbf{0} \quad x(u) . P \xrightarrow{x(y)} P\{y / u\} \quad \mathbf{0} \xrightarrow{x(y)} \bar{x}\langle y\rangle \\
& P \xrightarrow{\bar{x}\langle y\rangle} P^{\prime} \quad x \neq y \\
& (\boldsymbol{\nu} y) P \xrightarrow{(\boldsymbol{\nu} y) \bar{x}\langle y\rangle} P^{\prime} \\
& \frac{P \xrightarrow{\alpha} P^{\prime} \quad y \notin \alpha}{(\boldsymbol{\nu} y) P \xrightarrow{\alpha}(\boldsymbol{\nu} y) P^{\prime}} \\
& \xrightarrow[{P\left\|Q \xrightarrow{\tau} P^{\prime}\right\| Q^{\prime}}]{P} \quad \xrightarrow{\bar{x}\langle y\rangle} P^{\prime} Q \xrightarrow{x(y)} Q^{\prime} \quad P^{\prime} Q \xrightarrow{\text { 步y) } \bar{x}\langle y\rangle} Q^{\prime} y \notin \mathrm{fn}(Q) \\
& \begin{array}{c}
P \xrightarrow{\alpha} P^{\prime} \quad \operatorname{bn}(\alpha) \cap \mathrm{fn}(Q)=\emptyset \\
P\left\|Q \xrightarrow{\alpha} P^{\prime}\right\| Q
\end{array} \\
& P \equiv P^{\prime} \quad P^{\prime} \xrightarrow{\alpha} Q^{\prime} \quad Q^{\prime} \equiv Q \\
& P \xrightarrow{\alpha} Q
\end{aligned}
$$

## Honda, Tokoro explained

Ideas:

- modify the LTS so that it precisely identifies the interactions that a term can have with its environment;
- rely on a standard weak bisimulation.

Amazing results: asynchrounous bisimilarity in ACS style, bisimilarity on top of HT LTS, and barbed congruence coincide. ${ }^{5}$

[^3]
## Properties of asynchronous bisimilarity in ACS style

- Bisimilarity is a congruence;
it is preserved also by input prefix, while it is not in the synchronous case;
- bisimilarity is an equivalence relation (transitivity is non-trivial);
- bisimilarity is sound with respect to reduction barbed congruence;
- bisimilarity is complete with respect to barbed congruence. ${ }^{6}$

[^4]
## Some proofs about ACS bisimilarity... on asynchronous CCS

Syntax:

Reduction semantics:

$$
a . P \| \bar{a} \rightarrow P
$$

$$
\begin{aligned}
P \equiv P^{\prime} \rightarrow Q^{\prime} \equiv Q \\
P \rightarrow Q
\end{aligned}
$$

where $\equiv$ is defined as:

$$
\begin{gathered}
P\|Q \equiv Q\| P \quad(P \| Q)\|R \equiv P\|(Q \| R) \\
(\boldsymbol{\nu} a) P \| Q \equiv(\boldsymbol{\nu} a)(P \| Q) \text { if } a \notin \operatorname{fn}(Q)
\end{gathered}
$$

## Background: LTS and weak bisimilarity for asynchronous CCS

$$
\begin{array}{cc}
a . P \xrightarrow{a} P & \begin{array}{c}
\bar{a} \xrightarrow{\bar{a}} \mathbf{0} \\
P \xrightarrow{\ell} P^{\prime} \\
P\left\|Q \xrightarrow{\ell} P^{\prime}\right\| Q
\end{array} \\
\underset{(\boldsymbol{\nu} a) P \xrightarrow{\ell}(\boldsymbol{\nu} a) P^{\prime}}{P \xrightarrow{\ell} P^{\prime} \quad Q \xrightarrow{\bar{a}} Q^{\prime}} \\
& \begin{array}{l}
P \notin \mathrm{fn}(\ell)
\end{array} \\
\text { symmetric rules omitted. }
\end{array}
$$

Definition: Asynchronous weak bisimilarity, denoted $\approx$, is the largest symmetric relation such that whenever $P \approx Q$ and

- $P \xrightarrow{\ell} P^{\prime}, \ell \in\{\tau, \bar{a}\}$, there exists $Q^{\prime}$ such that $Q \stackrel{\hat{\ell}}{\Longrightarrow} Q^{\prime}$ and $P^{\prime} \approx Q^{\prime}$;
- $P \xrightarrow{a} P^{\prime}$, there exists $Q^{\prime}$ such that $Q \| \bar{a} \Longrightarrow Q^{\prime}$ and $P^{\prime} \approx Q^{\prime}$.


## Sketch of the proof of transitivity of $\approx$

Let $\mathcal{R}=\{(P, R): P \approx Q \approx R\}$. We show that $\mathcal{R} \subseteq \approx$.

- Suppose that $P \mathcal{R} R$ because $P \approx Q \approx R$, and that $P \xrightarrow{a} P^{\prime}$.

The definition of $\approx$ ensures that there exists $Q^{\prime}$ such that $Q \| \bar{a} \Longrightarrow Q^{\prime}$ and $P^{\prime} \approx Q^{\prime}$.
Since $\approx$ is a congruence and $Q \approx R$, it holds that $Q\|\bar{a} \approx R\| \bar{a}$.
A simple corollary of the defintion of the bisimilarity ensures that there exists $R^{\prime}$ such that $R \| \bar{a} \Longrightarrow R^{\prime}$ and $Q^{\prime} \approx R^{\prime}$.
Then $P^{\prime} \mathcal{R} R^{\prime}$ by construction of $\mathcal{R}$.

- The other cases are standard.

Remark the unusual use of the congruence of the bisimilarity.

## Sketch of the proof of completeness

We show that $\simeq \subseteq \approx$.

- Suppose that $P \simeq Q$ and that $P \xrightarrow{a} P^{\prime}$.

We must conclude that there exists $Q^{\prime}$ such that $Q \| \bar{a} \Longrightarrow Q^{\prime}$ and $P^{\prime} \simeq Q^{\prime}$.
Since $\simeq$ is a congruence, it holds that $P\|\bar{a} \simeq Q\| \bar{a}$.
Since $P \xrightarrow{a} P^{\prime}$, it holds that $P \| \bar{a} \xrightarrow{\tau} P^{\prime}$.
Since $P\|\bar{a} \simeq Q\| \bar{a}$, the definition of $\simeq$ ensures that there exists $Q^{\prime}$ such that $Q \| \bar{a} \Longrightarrow Q^{\prime}$ and $P^{\prime} \simeq Q^{\prime}$, as desired.

- The other cases are analogous to the completeness proof in synchronous CCS.

The difficulty of the completeness proof is to construct contexts that observe the actions of a process. The case $P \xrightarrow{a} P^{\prime}$ is straightforward because "there is nothing to observe".

## Some references

Kohei Honda, Mario Tokoro: An Object Calculus for Asynchronous Communication. ECOOP 1991.

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Gerard Boudol, Asynchrony and the pi-calculus. INRIA Research Report, 1992.
Roberto Amadio, Ilaria Castellani, Davide Sangiorgi, On bisimulations for the asynchronous pi-calculus. Theor. Comput. Sci. 195(2), 1998.


[^0]:    ${ }^{1}$ to simplify the notations, we will not write the most general contexts.

[^1]:    ${ }^{2}$ Does this hold if we replace + by $-_{1} \oplus-{ }_{2}=(\boldsymbol{\nu} w)\left(\bar{w}\langle \rangle\left\|w() .-_{1}\right\| w() .-_{2}\right)$ in $Q$ ?
    ${ }^{3}$ Hint: define a context that equates the names $x$ and $y$.

[^2]:    ${ }^{4}$ barbed congruence is a variant of reduction-closed barbed congruence in which closure under context is allowed only at the beginning of the bisimulation game.

[^3]:    ${ }^{5}$ ahem, modulo some technical details.

[^4]:    ${ }^{6}$ for completeness the calculus must be equipped with a matching operator.

