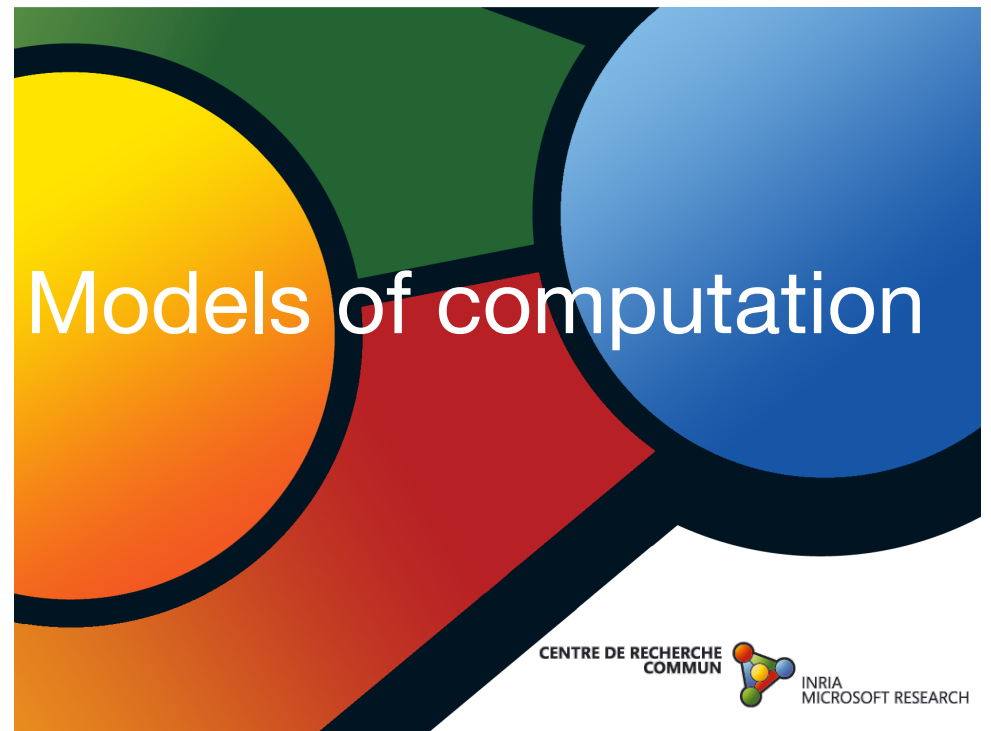




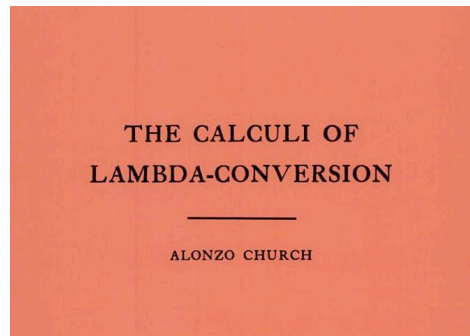
Lambda-Calculus (I)

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2nd Asian-Pacific Summer School
on Formal Methods
Tsinghua University,
August 23, 2010



Plan

- computation models
- lambda-notation
- bound variables
- conversion rules
- reductions
- normal forms
- numeral systems
- lambda-definability



Barendregt, Henk, [The Lambda Calculus. Its Syntax and Semantics](#), Elsevier, 2nd edition, 1997.
Barendregt, Henk; Dezani, Mariangiola, [Lambda calculi with Types](#), 2010.

Computation models

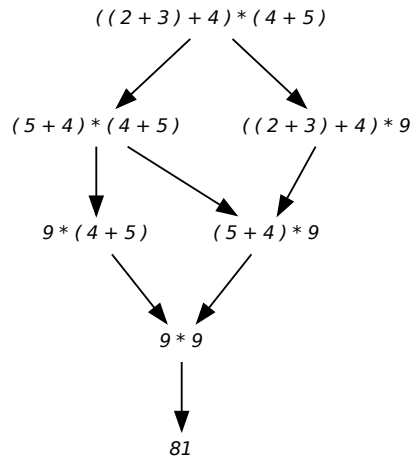
- [machines] automata theory -- **Turing** machines
- [character strings] formal grammars, Thue systems, **Post**
- [numbers] **Kleene** recursive functions theory
- [terms] **Church lambda-calculus**, term rewriting systems

Applications to logic

- [cut elimination] 2nd order arithmetic -- **Howard, Girard**
- [higher order dependent types] HOL, Isabelle, Coq -- **Coquand, Huet**

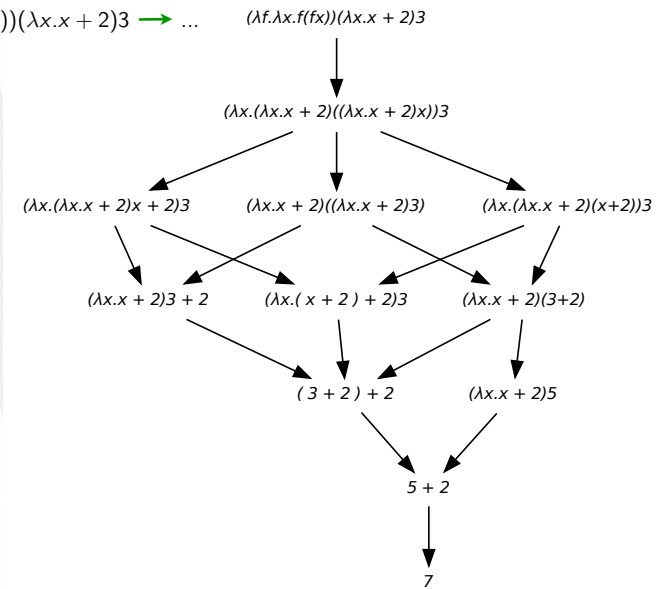
Computing with terms

$2 + 3 \rightarrow 5$
 $(2 + 3) + 4 \rightarrow 5 + 4 \rightarrow 9$
 $((2 + 3) + 4) * (4 + 5) \rightarrow \dots$



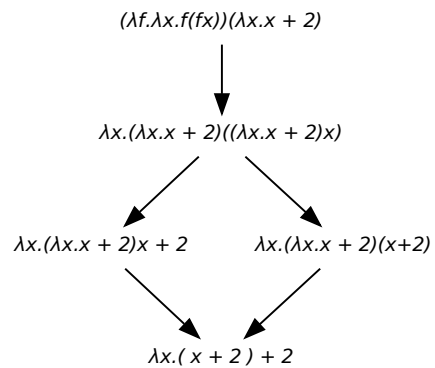
Computing with terms

$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)3 \rightarrow \dots$



Computing with terms

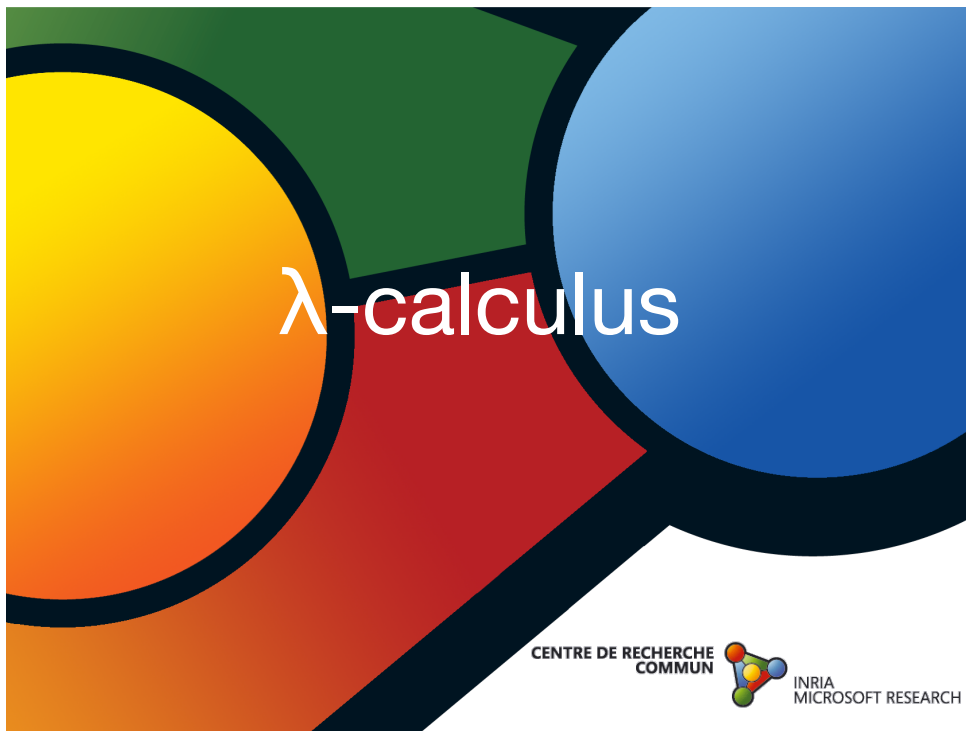
$(\lambda x. x + 1)3 \rightarrow 3 + 1 \rightarrow 4$
 $(\lambda x. 2 * x + 2)4 \rightarrow 2 * 4 + 2 \rightarrow 8 + 2 \rightarrow 10$
 $(\lambda f. f 3)(\lambda x. x + 2) \rightarrow (\lambda x. x + 2)3 \rightarrow 3 + 2 \rightarrow 5$
 $(\lambda f. \lambda x. f(f x))(\lambda x. x + 2) \rightarrow \dots$



Computation model

- define a **minimum** set
- no instructions, no states, only **expressions**
- no arithmetic
- just a calculus of **functions**
- functions applied to functions
- functions as results

interesting ?



Abbreviations

$MM_1 M_2 \cdots M_n$ for $(\cdots((MM_1)M_2)\cdots M_n)$

$(\lambda x_1 x_2 \cdots x_n . M)$ for $(\lambda x_1 . (\lambda x_2 . \cdots (\lambda x_n . M) \cdots))$

external parentheses and parentheses after a dot may be forgotten

Exercise 1

Write following terms in long notation:

$\lambda x . x$, $\lambda x . \lambda y . x$, $\lambda xy . x$, $\lambda xyz . y$, $\lambda xyz . zxy$, $\lambda xyz . z(xy)$,
 $(\lambda x . \lambda y . x)MN$, $(\lambda xy . x)MN$, $(\lambda xy . y)MN$, $(\lambda xy . y)(MN)$

The lambda-calculus

• Lambda terms

$M, N, P ::=$	x, y, z, \dots	(variables)
	$(\lambda x . M)$	(M as function of x)
	$(M N)$	(M applied to N)
	c, d, \dots	(constants)

• Calculations “reductions”

$((\lambda x . M)N) \rightarrow M\{x := N\}$

Examples

$(\lambda x . x)N \rightarrow N$

$(\lambda f . f N)(\lambda x . x) \rightarrow (\lambda x . x)N \rightarrow N$

$(\lambda x . xx)(\lambda x . xN) \rightarrow (\lambda x . xN)(\lambda x . xN) \rightarrow (\lambda x . xN)N \rightarrow NN$

$(\lambda x . xx)(\lambda x . xx) \rightarrow (\lambda x . xx)(\lambda x . xx) \rightarrow \dots$

$Y_f = (\lambda x . f(xx))(\lambda x . f(xx)) \rightarrow f((\lambda x . f(xx))(\lambda x . f(xx))) = f(Y_f)$

$f(Y_f) \rightarrow f(f(Y_f)) \rightarrow \dots \rightarrow f^n(Y_f) \rightarrow \dots$

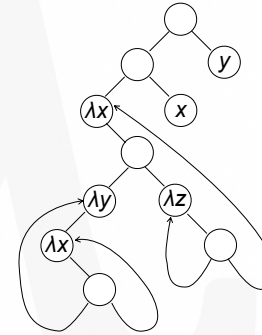
Recapitulation

- calculus is more complex than expected
- looping expressions !!
- recursion operator seems definable
- when termination ?
- consistency ?
- computing power ?

Abstract syntax

- Example: $(\lambda x. (\lambda y. \lambda x. y x) (\lambda z. z x)) x y$

is



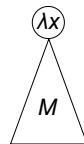
Abstract syntax

- The syntax of lambda-terms can be abstracted as:

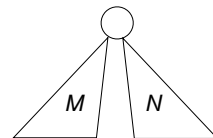
$M, N, P ::= x, y, z, \dots$ (variables)



| $(\lambda x. M)$ (M as function of x)



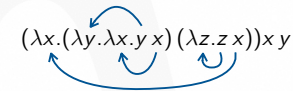
| $(M N)$ (M applied to N)



| c, d, \dots (constants)



Bound variables



(rightmost x, y are free)

Exercise 2

- Show binders of bound variables in

$(\lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))) (\lambda x. \lambda y. x)$

$(\lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))) (\lambda f x y. x(f y))$

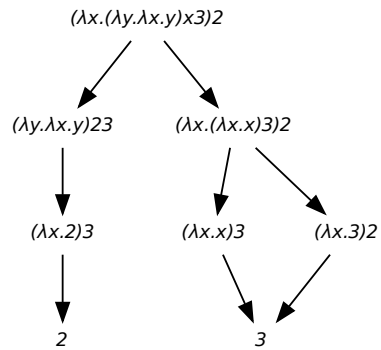
$(\lambda f. f((\lambda x. x)3)) (\lambda x. \lambda y. x)$

Bound variables

$$(\lambda y. \lambda x. y)x \rightarrow \lambda x. x$$

incorrect

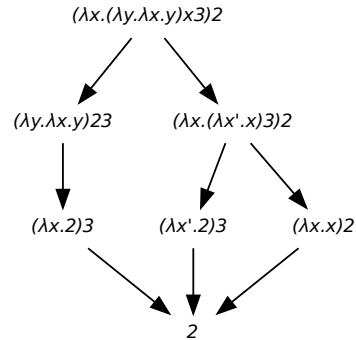
(dynamic binding: Lisp)



$$(\lambda y. \lambda x. y)x \rightarrow \lambda x'. x$$

correct

(lexical binding: Scheme)



Exercise 2bis Why Lisp is consistent ?

Bound variables

$$(\lambda y. \lambda x. y)x \rightarrow \lambda x'. x$$

$$(\lambda y. \lambda x. y)x =_{\alpha} (\lambda y. \lambda x'. y)x \rightarrow \lambda x'. x$$

- renaming of bound variables
- names of bound variables are **not important**
- standard in many other calculi

$$\int_0^{\pi/2} \cos(x) dx = \int_0^{\pi/2} \cos(x') dx'$$

$$\sum_{i=1}^9 a_i = \sum_{j=1}^9 a_j$$

$$\lambda x. x + 2 =_{\alpha} \lambda y. y + 2$$

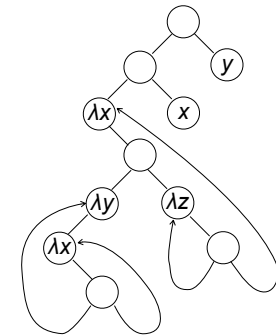
$$\lambda xy. x + y =_{\alpha} \lambda yx. y + x$$

Bound variables

- de Bruijn indices** is a systematic computer representation of bound variables
- for each occurrence of a bound variable, one counts the number of binders to traverse to reach its binder.

Example: $(\lambda x. (\lambda y. \lambda x. y x) (\lambda z. z x)) x y$

is $(\lambda. (\lambda. \lambda. \underline{1} \underline{0}) (\lambda. \underline{0} \underline{1})) x y$



Substitution

$$x\{y := P\} = x \qquad c\{y := P\} = c$$

$$y\{y := P\} = P$$

$$(MN)\{y := P\} = M\{y := P\} N\{y := P\}$$

$$(\lambda y. M)\{y := P\} = \lambda y. M$$

$$(\lambda x. M)\{y := P\} = \lambda x'. M\{x := x'\}\{y := P\}$$

where $x' = x$ if y not free in M or x not free in P , otherwise x' is the first variable not free in M and P . (we suppose that the set of variables is infinite and enumerable)

Free variables

$$\text{var}(x) = \{x\} \qquad \text{var}(c) = \emptyset$$

$$\text{var}(MN) = \text{var}(M) \cup \text{var}(N)$$

$$\text{var}(\lambda x. M) = \text{var}(M) - \{x\}$$

Conversion rules

$$\begin{aligned} \lambda x.M &\xrightarrow{\alpha} \lambda x'.M\{x := x'\} && (x' \notin \text{var}(M)) \\ (\lambda x.M)N &\xrightarrow{\beta} M\{x := N\} \\ \lambda x.Mx &\xrightarrow{\eta} M && (x \notin \text{var}(M)) \end{aligned}$$

- left-hand-side of conversion rule is a **redex** (reducible expression)
- α -redex, β -redex, η -redex, ...
- we forget indices when clear from context, often β

Reduction step

- let R be a redex in M . Then one can contract redex R in M and get N :

$$M \xrightarrow{R} N$$

Reductions

$$M \xrightarrow{*} N \text{ when } M = M_0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots \rightarrow M_n = N \quad (n \geq 0)$$

- same with explicit contracted redexes

$$M = M_0 \xrightarrow{R_1} M_1 \xrightarrow{R_2} M_2 \dots \xrightarrow{R_n} M_n = N$$

- and with named reductions

$$\rho : M = M_0 \xrightarrow{R_1} M_1 \xrightarrow{R_2} M_2 \dots \xrightarrow{R_n} M_n = N$$

- we speak of redex occurrences when specifying reduction steps, but it is convenient to confuse redexes and redex occurrences when clear from context

Lambda theories

$M =_{\beta} N$ when M and N are related by a zigzag of reductions
 M and N are said **interconvertible**



- Also $M =_{\alpha} N$, $M =_{\eta} N$, $M =_{\beta, \eta} N$, ...
- Interconvertibility is symmetric, reflexive, transitive closure of reduction relation
- or with notations of mathematical logic:

$$\alpha \vdash M = N, \beta \vdash M = N, \eta \vdash M = N, \beta + \eta \vdash M = N, \dots$$
- the syntactic equality $M = N$ will often stand for $M =_{\alpha} N$.

Exercise 3

- Find terms M such that:

$$M \rightarrow M$$

$$M = M_0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots \rightarrow M_n = M \quad (M_i \text{ all distinct})$$

$$M =_{\beta} \times M$$

$$M =_{\beta} \lambda x.M$$

$$M =_{\beta} MM$$

$$M =_{\beta} MN_1N_2 \dots N_n \text{ for all } N_1, N_2, \dots, N_n$$

- Find term Y such that, for any M :

$$YM =_{\beta} M(YM)$$

- Find Y' such that, for any M :

$$Y'M \xrightarrow{*} M(Y'M)$$

- (difficult) Show there is only one redex R such that $R \rightarrow R$

Normal forms

- An expression M without redexes **is in** normal form

$$M \rightarrow$$

- If M reduces to a normal form, then M **has a** normal form

$$M \rightarrow^* N, \quad N \text{ in normal form}$$

Exercise 4

- which of following terms are in β -normal form ?
in $\beta\eta$ -normal form ?

$$\lambda x.x$$

$$\lambda xy.x$$

$$\lambda xy.xy$$

$$\lambda xy.x((\lambda x.y(xx))(\lambda x.y(xx)))$$

$$\lambda x.x(\lambda xy.x)(\lambda x.x)$$

$$\lambda xy.x(\lambda xy.x)(\lambda x.yx)$$

$$\lambda xy.x((\lambda x.xx)(\lambda x.xx))y$$



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Exercise 5

- Show that if M is in normal form and $M \rightarrow^* N$, then $M = N$
- Show that:

1- $\lambda x.M \rightarrow^* N$ implies $N = \lambda x.N'$ and $M \rightarrow^* N'$

2- $MN \rightarrow^* P$ implies $M \rightarrow^* M', N \rightarrow^* N'$ and $P = M'N'$
or $M \rightarrow^* \lambda x.M', N \rightarrow^* N'$ and $M'\{x := N'\} \rightarrow^* P$

3- $xM_1M_2 \dots M_n \rightarrow^* N$ implies $M_1 \rightarrow^* N_1, M_2 \rightarrow^* N_2, \dots, M_n \rightarrow^* N_n$
and $xN_1N_2 \dots N_n = N$

4- $M\{x := N\} \rightarrow^* \lambda y.P$ implies $M \rightarrow^* \lambda y.M'$ and $M'\{x := N\} \rightarrow^* P$
or $M \rightarrow^* xM_1M_2 \dots M_n$ and $NM_1\{x := N\} \dots M_n\{x := N\} \rightarrow^* \lambda y.P$

Adding δ -rules: PCF

- Terms of PCF

M, N, P	$::=$	x, y, z, \dots	(variables)
		$\lambda x.M$	(M function of x)
		MN	(M applied to N)
		n	(integer constant)
		$M \otimes N$	(arithmetic operation, +, *, -, /)
		$\text{ifz } P \text{ then } M \text{ else } N$	(conditional)

- Conversion rules

$$(\lambda x.M)N \rightarrow M\{x := N\}$$

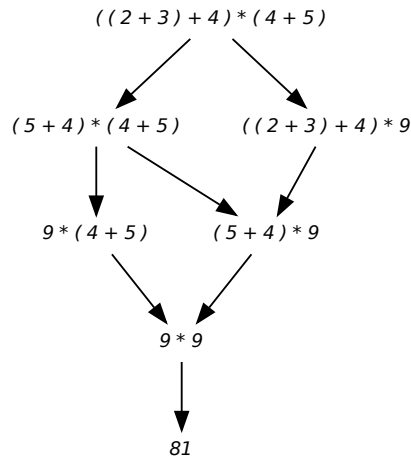
$$m \otimes n \rightarrow m \otimes n$$

$$\text{ifz } 0 \text{ then } M \text{ else } N \rightarrow M$$

$$\text{ifz } n+1 \text{ then } M \text{ else } N \rightarrow N$$

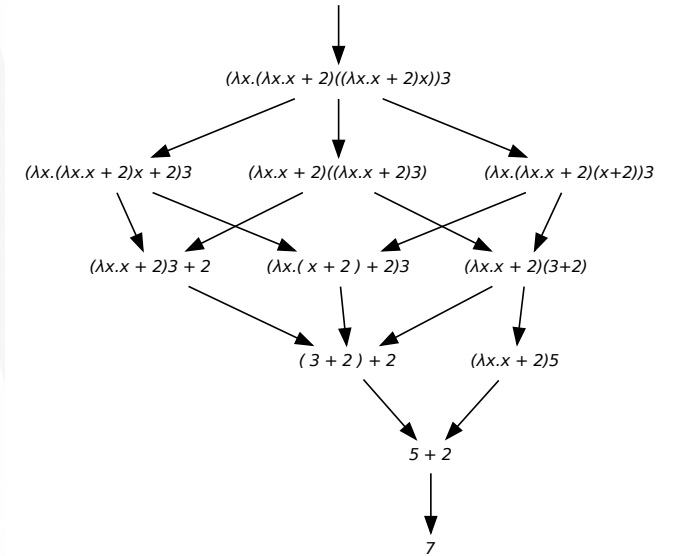
Examples (bis)

$2 + 3 \rightarrow 5$
 $(2 + 3) + 4 \rightarrow 5 + 4 \rightarrow 9$
 $((2 + 3) + 4) * (4 + 5) \rightarrow \dots$



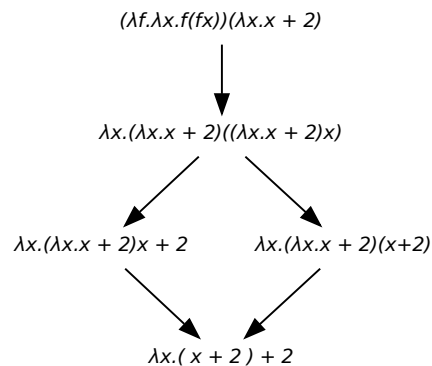
Examples (bis)

$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)3 \rightarrow \dots$ $(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)3$



Examples (bis)

$(\lambda x. x + 1)3 \rightarrow 3 + 1 \rightarrow 4$
 $(\lambda x. 2 * x + 2)4 \rightarrow 2 * 4 + 2 \rightarrow 8 + 2 \rightarrow 10$
 $(\lambda f. f 3)(\lambda x. x + 2) \rightarrow (\lambda x. x + 2)3 \rightarrow 3 + 2 \rightarrow 5$
 $(\lambda f. \lambda x. f(f x))(\lambda x. x + 2) \rightarrow \dots$



Examples

Fact(3)

Fact = $Y(\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x - 1))$

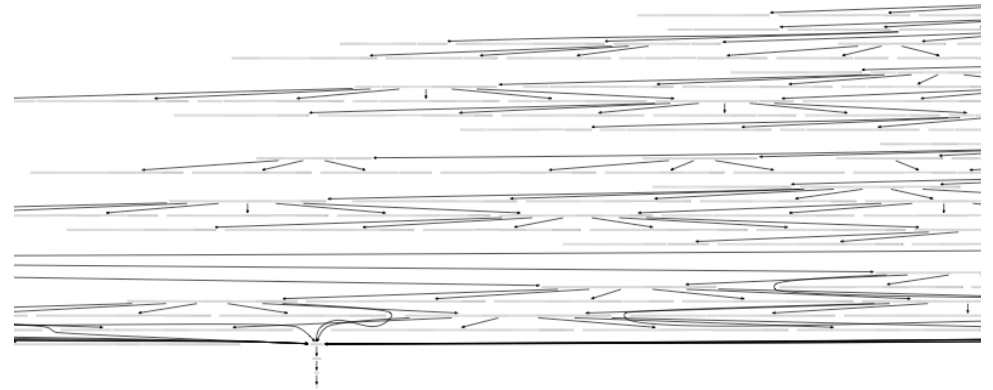
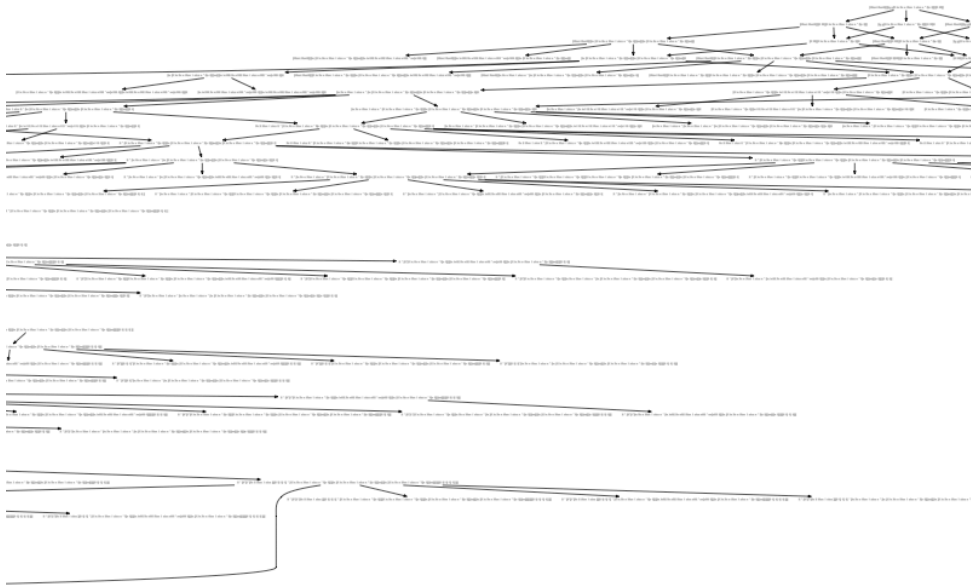
$Y = \lambda f. (\lambda x. f(xx))(\lambda x. f(xx))$

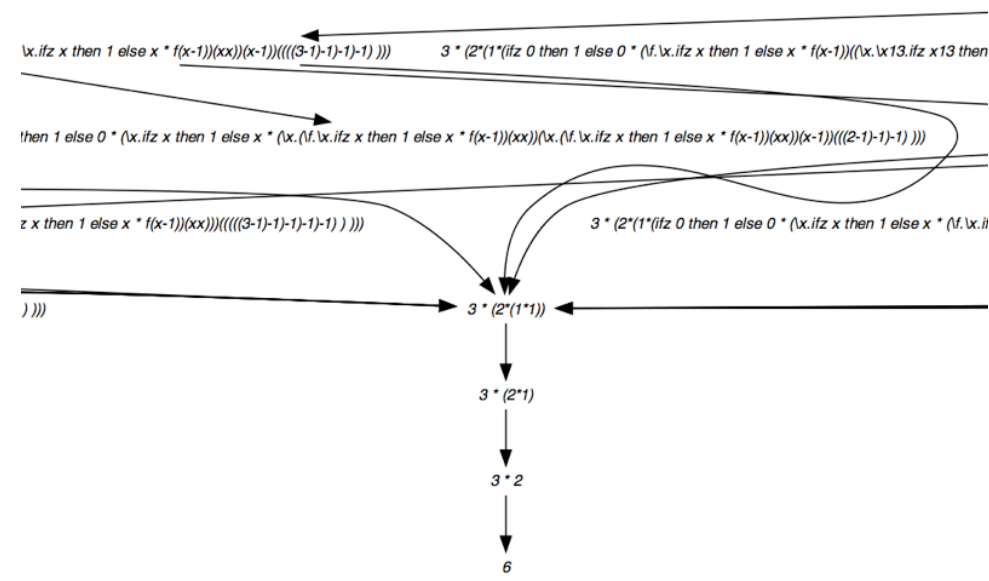
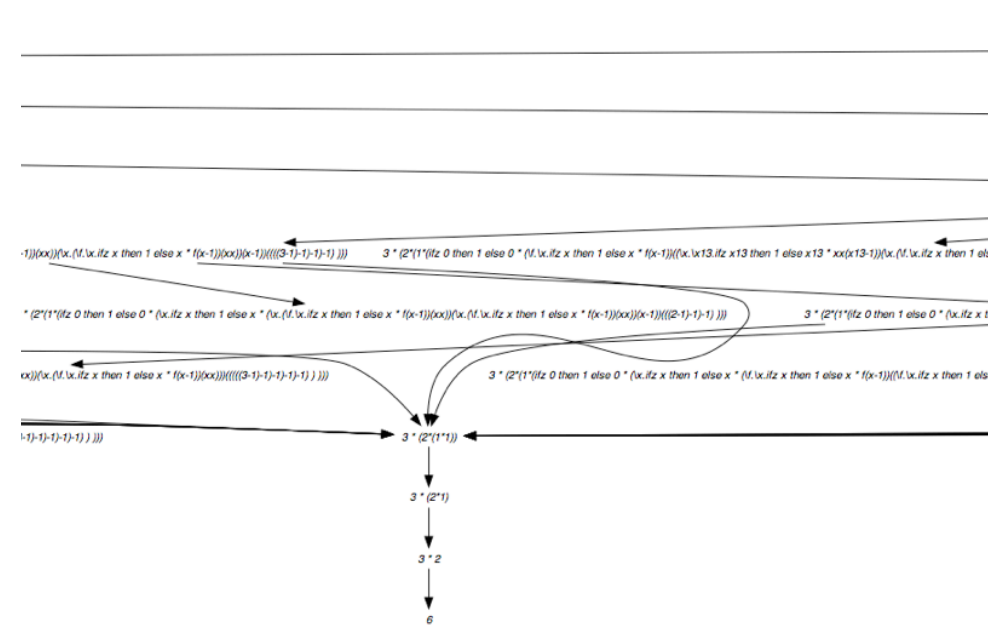
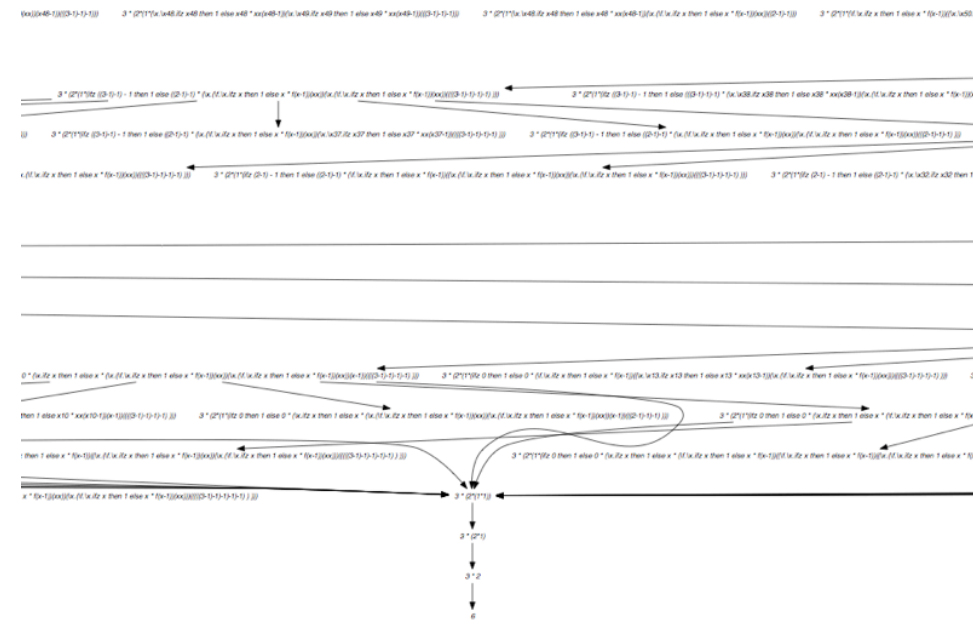
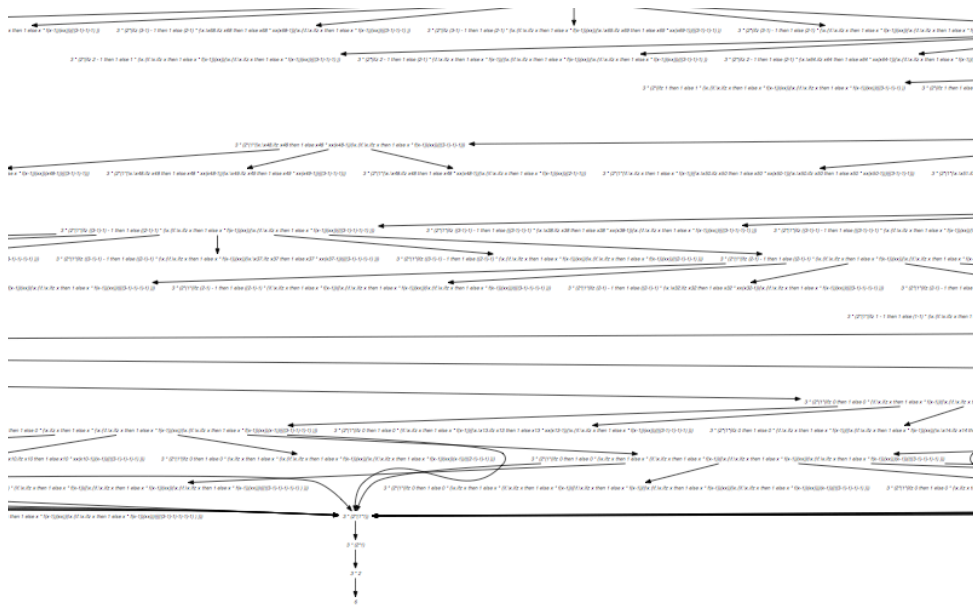
can be written as a single term in:

$(\lambda \text{Fact} . \text{Fact}(3))$

$((\lambda Y . Y(\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x - 1)))$

$(\lambda f. (\lambda x. f(xx))(\lambda x. f(xx)))$)







Computing without δ -rules

- Booleans

True = $\lambda x.\lambda y.x = K$
 False = $\lambda x.\lambda y.y$

True $M N \xrightarrow{*} M$
 False $M N \xrightarrow{*} N$

- Pairs and Projections

$\langle M, N \rangle = \lambda x.xMN$
 $\pi_1 = \lambda x.x \text{ True}$
 $\pi_2 = \lambda x.x \text{ False}$

$\pi_1 \langle M, N \rangle \xrightarrow{*} M$
 $\pi_2 \langle M, N \rangle \xrightarrow{*} N$

- Non-negative integers ...

$0 = \langle \text{True}, \text{True} \rangle$
 $n + 1 = \langle \text{False}, n \rangle$
 $\text{isZero} = \pi_1$

$\text{isZero } 0 \xrightarrow{*} \text{True}$
 $\text{isZero}(n + 1) \xrightarrow{*} \text{False}$

Computing without δ -rules

- Numbers will be in **unary**-code

$$\mathbb{N} = 0 \oplus S(\mathbb{N})$$

with following implementation:

$$0 = \langle \text{True}, ? \rangle$$

$$1 = \langle \text{False}, 0 \rangle = \langle \text{False}, \langle \text{True}, ? \rangle \rangle$$

$$2 = \langle \text{False}, 1 \rangle = \langle \text{False}, \langle \text{False}, \langle \text{True}, ? \rangle \rangle \rangle$$

\vdots

$$n = \langle \text{False}, n - 1 \rangle = \langle \text{False}, \langle \text{False}, \dots \langle \text{True}, ? \rangle \rangle \rangle$$

Computing without δ -rules

- ... integers

$$\text{Succ} = \lambda x.\langle \text{False}, x \rangle$$

$$\text{Pred} = \lambda x.\text{isZero } x \ 0 \ \pi_2$$

Other numeral system

- also named **Church's numerals**

$$n = \lambda f. \lambda x. \underbrace{f(f(\dots f(x)\dots))}_n$$

or

$$n = \lambda f. \underbrace{f \circ f \circ \dots \circ f}_n$$

was n+1 in Church's original monograph

Other numeral system

- ... successor and predecessor

$$\text{Succ} = \lambda n. \lambda f. \lambda x. n f (f x)$$

$$\text{Pred} = \lambda n. \pi_3^3 (n \phi \langle 1, 1, 1 \rangle)$$

$$\phi = \lambda t. (\lambda x. \lambda y. \lambda z. \langle \text{Succ } x, x, y \rangle) (\pi_1^3 t) (\pi_2^3 t) (\pi_3^3 t)$$

where $\pi_1^3, \pi_2^3, \pi_3^3$ are the 3 projections on triples

4	3	2
3	2	1
2	1	1
1	1	1



shift register! FIFO

Other numeral system

- Lambda-I calculus

$$\lambda x. M$$

(M depends upon x)

$$\text{no } K = \lambda x. \lambda y. x$$

- Church numerals

$$n = \lambda f. \lambda x. f^n(x)$$

$$n I \xrightarrow{*} I$$

$$n \geq 1$$

$$I = \lambda x. x$$

- Pairs and projections

$$\langle M, N \rangle = \lambda x. x M N$$

$$\pi_1 \langle m, n \rangle \xrightarrow{*} m$$

$$\pi_1 = \lambda p. p(\lambda x. \lambda y. y I x)$$

$$\pi_2 \langle m, n \rangle \xrightarrow{*} n$$

$$\pi_2 = \lambda p. p(\lambda x. \lambda y. x I y)$$

Church numeral system



Alonzo Church



Stephen Kleene



§9. ORDERED PAIRS AND TRIADS, THE PREDECESSOR FUNCTION 31

If L, M, N are formulas representing positive integers, then $2_1[M, N] \text{ conv } M$, $2_2[M, N] \text{ conv } N$, $3_1[L, M, N] \text{ conv } L$, $3_2[L, M, N] \text{ conv } M$, and $3_3[L, M, N] \text{ conv } N$.

Verification of this depends on the observation that, if M is a formula representing a positive integer, $M^m \text{ conv } I$ (the m th power of the identity is the identity).

By the predecessor function of positive integers we mean the function whose value for the argument 1 is 1 and whose value for any other positive integer argument x is $x-1$. This function is λ -defined by

$$P \rightarrow \lambda a. 3_3(a(\lambda b[S(3_1 b), 3_1 b, 3_2 b])[1, 1, 1]).$$

For if K, L, M represent positive integers,

$$(\lambda b[S(3_1 b), 3_1 b, 3_2 b])[K, L, M] \text{ conv } [SK, K, L],$$

Programming languages

Towards programming languages

- Many δ -rules
- Adding types \rightarrow never following terms :



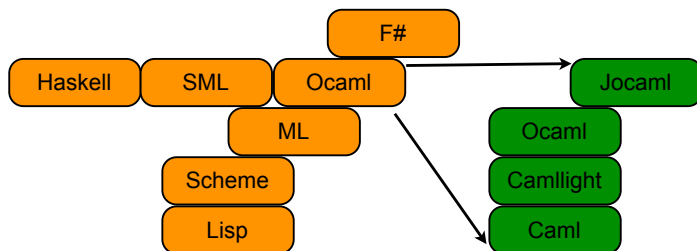
$3 + \lambda x.x$ $4(5)$ $20(\lambda x.x)$ $\text{ifz } \lambda x.x \text{ then } 1 \text{ else } 3$
 $\lambda f.(\lambda x.f(xx))(\lambda x.f(xx))$ $\lambda x.xx$

- Adding store and mutable values



Functional programming

- Scheme, SML, Ocaml, Haskell are functional programming languages
- they manipulate functions
- and try to reduce the number of memory states



Next class

- confluency \rightarrow
- consistency