

# Reductions and Causality (II)

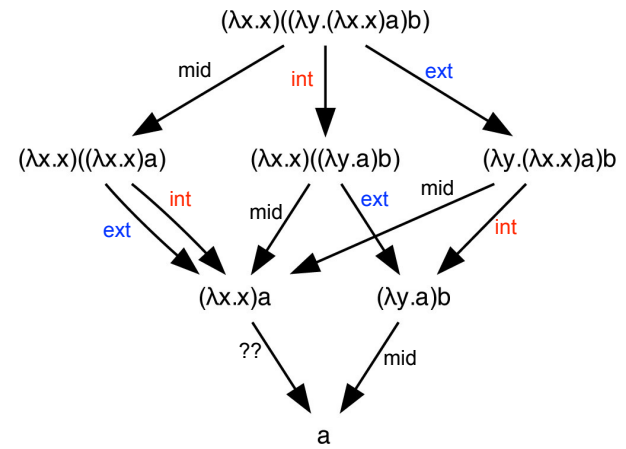


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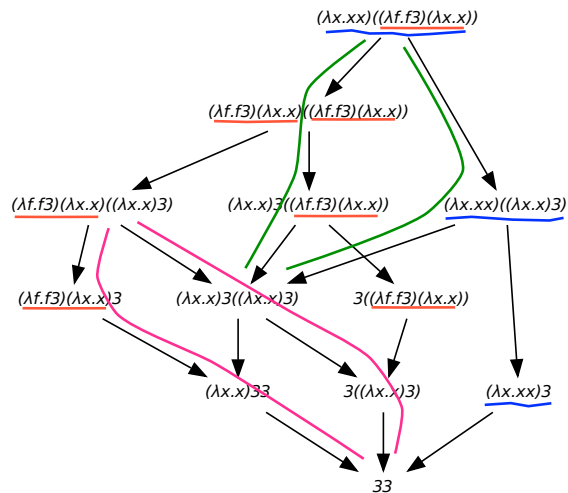
<http://jeanjacqueslevy.net/courses/13eci>



## Exercise



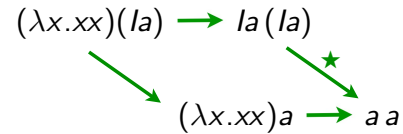
## Exercise



Parallel reduction steps

## Parallel reductions (1/3)

- permutation of reductions has to cope with copies of redexes



- in fact, a parallel reduction  $la(la) \twoheadrightarrow aa$
- in  $\lambda$ -calculus, need to define parallel reductions for nested sets

## Parallel reductions (2/3)

- the axiomatic way (à la Martin-Löf)

$$[\text{Var Axiom}] \ x \twoheadrightarrow x$$

$$[\text{Const Axiom}] \ c \twoheadrightarrow c$$

$$[\text{App Rule}] \ \frac{M \twoheadrightarrow M' \quad N \twoheadrightarrow N'}{MN \twoheadrightarrow M'N'}$$

$$[\text{Abs Rule}] \ \frac{M \twoheadrightarrow M'}{\lambda x.M \twoheadrightarrow \lambda x.M'}$$

$$[\text{Beta Rule}] \ \frac{M \twoheadrightarrow M' \quad N \twoheadrightarrow N'}{(\lambda x.M)N \twoheadrightarrow M'\{x := N'\}}$$

- example:

$$(\lambda x.lx)(ly) \twoheadrightarrow (\lambda x.x)y$$

$$(\lambda x.(\lambda y.yy)x)(la) \twoheadrightarrow la(la)$$

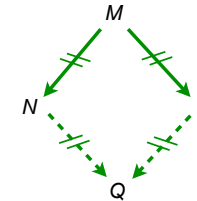
$$(\lambda x.(\lambda y.yy)x)(la) \twoheadrightarrow (\lambda y.yy)a$$

- it's an *inside-out* parallel reduction-strategy

## Parallel reductions (3/3)

- Parallel moves lemma** [Curry 50]

If  $M \twoheadrightarrow N$  and  $M \twoheadrightarrow P$ , then  $N \twoheadrightarrow Q$  and  $P \twoheadrightarrow Q$  for some  $Q$ .



**lemma 1-1-1-1**  
(strong confluency)

- Enough to prove Church Rosser thm since  $\longrightarrow \subset \twoheadrightarrow \subset \twoheadrightarrow^*$   
[Tait--Martin Löf 60?]

## Reduction of set of redexes (1/4)

- Goal: parallel reduction of a given set of redexes

$$M, N ::= x \mid \lambda x.M \mid MN \mid (\lambda x.M)^a N$$

$$a, b, c, \dots ::= \text{redex labels}$$

$$(\lambda x.M)N \longrightarrow M\{x := N\}$$

$$(\lambda x.M)^a N \longrightarrow M\{x := N\}$$

- Substitution as before with add-on:

$$((\lambda y.P)^a Q)\{x := N\} = (\lambda y.P\{x := N\})^a Q\{x := N\}$$

## Reduction of set of redexes (2/4)

- let  $\mathcal{F}$  be a set of redex labels in  $M$

$$\text{[Var Axiom]} \quad x \xrightarrow{\mathcal{F}} x$$

$$\text{[Const Axiom]} \quad c \xrightarrow{\mathcal{F}} c$$

$$\text{[App Rule]} \quad \frac{M \xrightarrow{\mathcal{F}} M' \quad N \xrightarrow{\mathcal{F}} N'}{MN \xrightarrow{\mathcal{F}} M'N'}$$

$$\text{[Abs Rule]} \quad \frac{M \xrightarrow{\mathcal{F}} M'}{\lambda x.M \xrightarrow{\mathcal{F}} \lambda x.M'}$$

$$\text{[Beta Rule]} \quad \frac{M \xrightarrow{\mathcal{F}} M' \quad N \xrightarrow{\mathcal{F}} N' \quad a \in \mathcal{F}}{(\lambda x.M)^a N \xrightarrow{\mathcal{F}} M'\{x := N'\}}$$

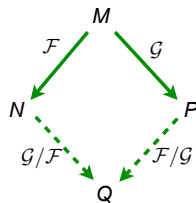
$$\text{[Redex]} \quad \frac{M \xrightarrow{\mathcal{F}} M' \quad N \xrightarrow{\mathcal{F}} N' \quad a \notin \mathcal{F}}{(\lambda x.M)^a N \xrightarrow{\mathcal{F}} (\lambda x.M')^a N'}$$

- let  $\mathcal{F}, \mathcal{G}$  be set of redexes in  $M$  and let  $M \xrightarrow{\mathcal{F}} N$ , then the set  $\mathcal{G}/\mathcal{F}$  of **residuals** of  $\mathcal{G}$  by  $\mathcal{F}$  is the set of  $\mathcal{G}$  redexes in  $N$ .

## Reduction of set of redexes (3/4)

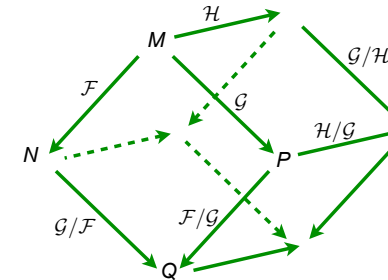
- Parallel moves lemma+** [Curry 50]

If  $M \xrightarrow{\mathcal{F}} N$  and  $M \xrightarrow{\mathcal{G}} P$ , then  $N \xrightarrow{\mathcal{G}/\mathcal{F}} Q$  and  $P \xrightarrow{\mathcal{F}/\mathcal{G}} Q$  for some  $Q$ .



## Reduction of set of redexes (4/4)

- Parallel moves lemma++** [Curry 50] **The Cube Lemma**



- Then  $(\mathcal{H}/\mathcal{F})/(\mathcal{G}/\mathcal{F}) = (\mathcal{H}/\mathcal{G})/(\mathcal{F}/\mathcal{G})$

## Recap

- WMM as an example of events causally-related
- independent and causally-related computation steps
- lemma of parallel moves
- Church-Rosser theorem
- cube lemma

# Residuals of redexes

## Redexes

- a **redex** is any **reducible expression**:  $(\lambda x.M)N$
- a **reduction step** contracts a given redex  $R = (\lambda x.A)B$  and is written:  $M \xrightarrow{R} N$
- a reduction step contracts a **singleton** set of redexes  $M \xrightarrow{\{R\}} N$
- a more precise notation would be with occurrences of subterms. We avoid it here (but it is sometimes mandatory to avoid ambiguity)
- we replaced occurrences by giving names (labels) to redexes.

## Residuals of redexes (1/4)

- residuals of redexes were defined by considering labels
- they are redexes with same names when giving distinct names to initial redexes.
- a closer look w.r.t. their relative positions give following cases:

let  $R = (\lambda x.A)B$ , let  $M \xrightarrow{R} N$  and  $S = (\lambda y.C)D$  be an other redex in  $M$ . Then:

## Residuals of redexes (2/4)

### Case 1:

$$M = \dots R \dots \underline{S} \dots \xrightarrow{R} \dots R' \dots \underline{S} \dots = N$$

or

$$M = \dots \underline{S} \dots R \dots \xrightarrow{R} \dots \underline{S} \dots R' \dots = N$$

### Case 2:

$$M = \dots \underline{R} \dots \xrightarrow{R} \dots R' \dots = N \quad (R \text{ and } S \text{ coincide})$$

### Case 3:

$$M = \dots (\underline{\lambda y. \dots R \dots}) D \dots \xrightarrow{R} \dots (\underline{\lambda y. \dots R' \dots}) D \dots = N$$

### Case 4:

$$M = \dots (\underline{\lambda y. C})(\dots R \dots) \dots \xrightarrow{R} \dots (\underline{\lambda y. C})(\dots R' \dots) \dots = N$$

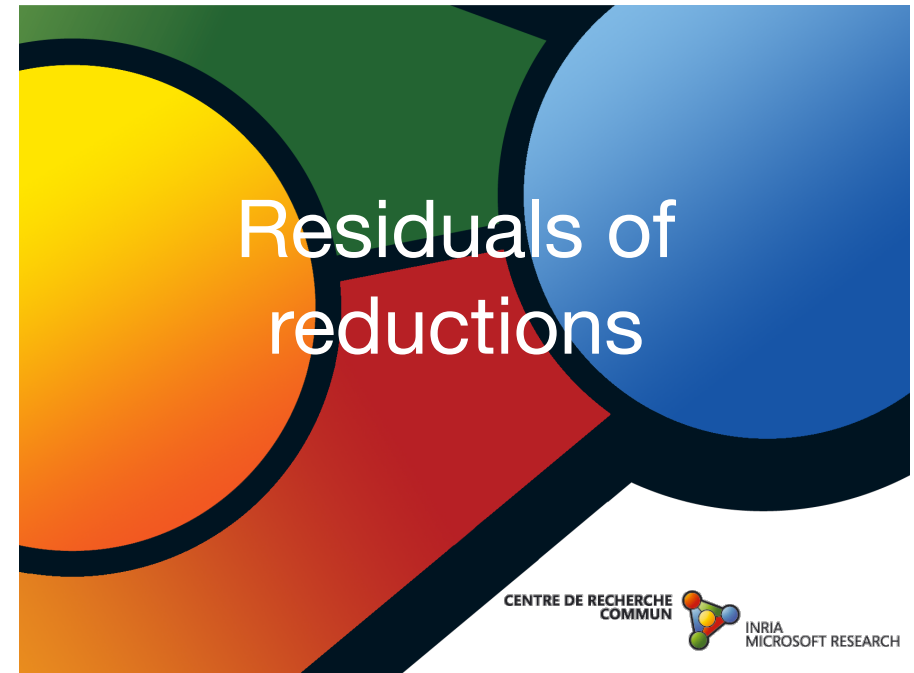
## Residuals of redexes (3/4)

### Case 3:

$$M = \dots (\lambda x. \dots \underline{S} \dots) B \dots \xrightarrow{R} \dots \underline{S\{x := B\}} \dots = N$$

### Case 4:

$$M = \dots (\lambda x. \dots x \dots x \dots) (\dots \underline{S} \dots) \dots \xrightarrow{R} \dots (\dots \underline{S} \dots) \dots (\dots \underline{S} \dots) \dots = N$$



## Residuals of redexes (4/4)

**Examples:**  $\Delta = \lambda x. xx, I = \lambda x. x$

$$\Delta(\underline{Ix}) \rightarrow \underline{Ix(Ix)}$$

$$\underline{Ix}(\Delta(Ix)) \rightarrow \underline{Ix}(Ix(Ix))$$

$$\underline{I}(\Delta(Ix)) \rightarrow \underline{I}(Ix(Ix))$$

$$\underline{\Delta}(Ix) \rightarrow Ix(Ix)$$

$$Ix(\underline{\Delta}(Ix)) \rightarrow Ix(Ix(Ix))$$

$$\underline{\Delta\Delta} \rightarrow \Delta\Delta$$

## Parallel reductions

- Redex occurrences and labels

- Let  $\|U\| = M$  where labels in  $U$  are erased (forgetful functor)
- Then  $M \xrightarrow{F} N$  iff  $U \xrightarrow{F} N$  for some labeled  $U$  and  $M = \|U\|$

- Consider reductions where each step is parallel

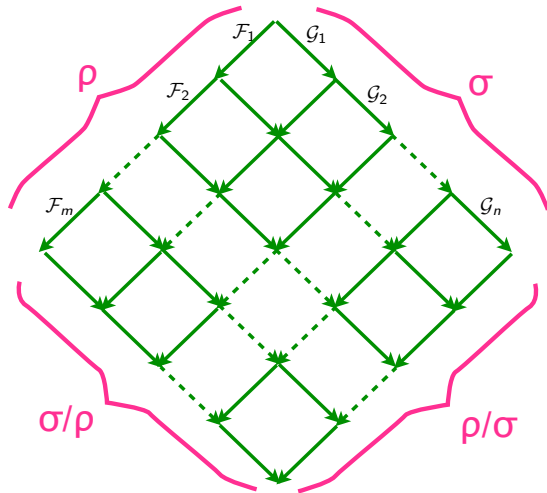
$$\rho : M = M_0 \xrightarrow{\mathcal{F}_1} M_1 \xrightarrow{\mathcal{F}_2} M_2 \cdots \xrightarrow{\mathcal{F}_n} M_n = N$$

- We also write

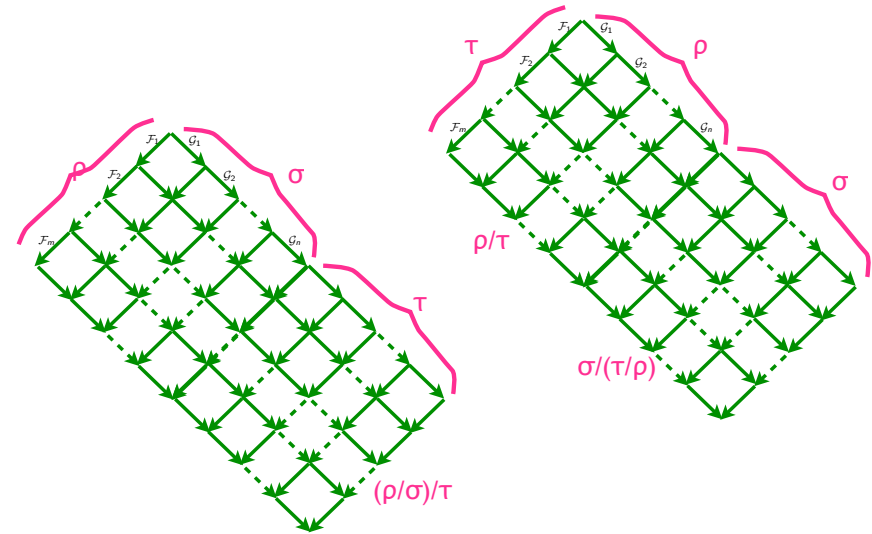
$$\rho = 0 \text{ when } n = 0$$

$$\rho = \mathcal{F}_1 \mathcal{F}_2 \cdots \mathcal{F}_n \text{ when } M \text{ clear from context}$$

## Residual of reduction (1/4)



## Residual of reduction (3/4)



## Residual of reduction (2/4)

- **Definition** [JJL 76]

$$\rho/0 = \rho$$

$$\rho/(\sigma\tau) = (\rho/\sigma)/\tau$$

$$(\rho\sigma)/\tau = (\rho/\tau)(\sigma/(\tau/\rho))$$

$\mathcal{F}/\mathcal{G}$  already defined

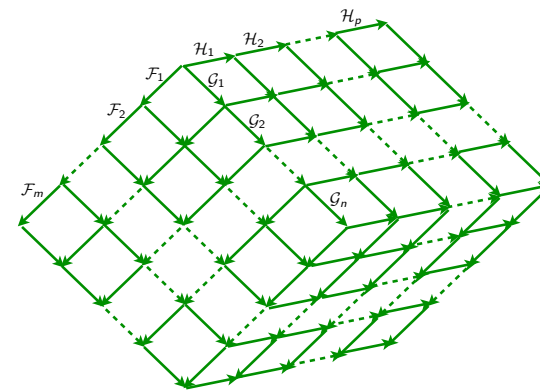
- **Notation**

$$\rho \sqcup \sigma = \rho(\sigma/\rho)$$

- **Proposition** [Parallel Moves +]:

$\rho \sqcup \sigma$  and  $\sigma \sqcup \rho$  are cofinal

## Residual of reduction (4/4)

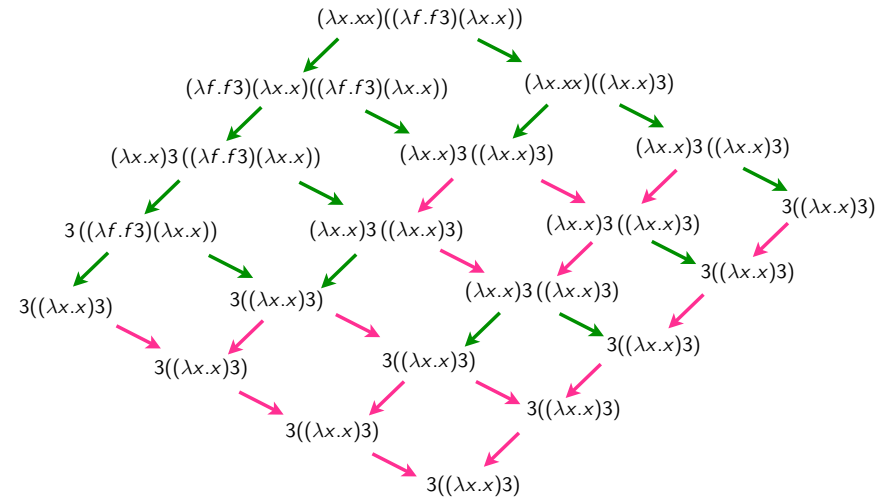


- **Proposition** [Cube Lemma ++]:

$$\tau/(\rho \sqcup \sigma) = \tau/(\sigma \sqcup \rho)$$

# Equivalence by permutations

## Equivalence by permutations (2/4)

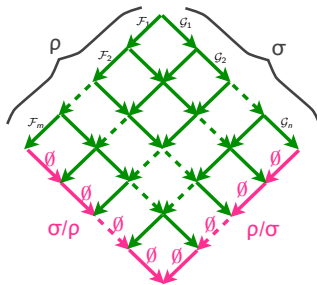


## Equivalence by permutations (1/4)

• **Definition:**

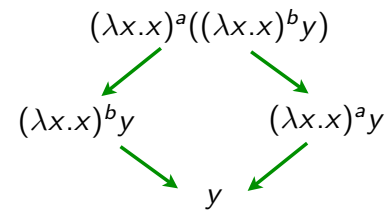
Let  $\rho$  and  $\sigma$  be 2 coinitial reductions. Then  $\rho$  is equivalent to  $\sigma$  by permutations,  $\rho \simeq \sigma$ , iff:

$$\rho/\sigma = \emptyset^m \quad \text{and} \quad \sigma/\rho = \emptyset^n$$



• Notice that  $\rho \simeq \sigma$  means that  $\rho$  and  $\sigma$  are cofinal

## Equivalence by permutations (3/4)



$$\rho : M = I(Iy) \xrightarrow{R_a} Iy = N$$

$$\sigma : M = I(Iy) \xrightarrow{R_b} Iy = N$$

$$\rho \not\approx \sigma$$

• Notice that  $\rho \not\approx \sigma$  while  $\rho$  and  $\sigma$  are coinitial and cofinal

## Equivalence by permutations (4/4)

- Same with  $0 \not\approx \rho$  when  $\rho : \Delta\Delta \rightarrow \Delta\Delta$   
 $\Delta = \lambda x.xx$
- **Exercise 1:** Give other examples of non-equivalent reductions between same terms
- **Exercise 2:** Show following equalities
 

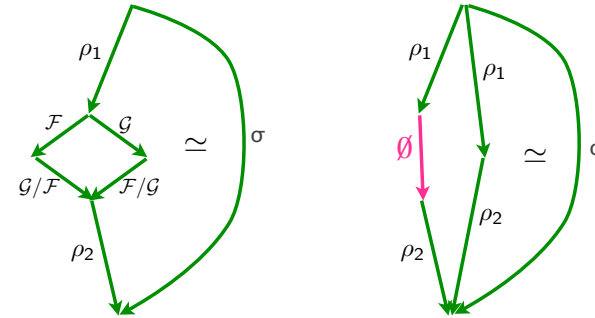
$\rho/0 = \rho$	$\emptyset^n/\rho = \emptyset^n$
$0/\rho = 0$	$0 \simeq \emptyset^n$
$\rho/\emptyset^n = \rho$	$\rho/\rho = \emptyset^n$
- **Exercise 3:** Show that  $\simeq$  is an equivalence relation.

## Properties of equivalent reductions

- **Proposition**  $\simeq$  is the smallest congruence containing

$$\mathcal{F}(\mathcal{G}/\mathcal{F}) \simeq \mathcal{G}(\mathcal{F}/\mathcal{G})$$

$$0 \simeq \emptyset$$



## Properties of equivalent reductions

- **Proposition**

$$\rho \simeq \sigma \text{ iff } \forall \tau, \tau/\rho = \tau/\sigma$$

$$\rho \simeq \sigma \text{ implies } \rho/\tau \simeq \sigma/\tau$$

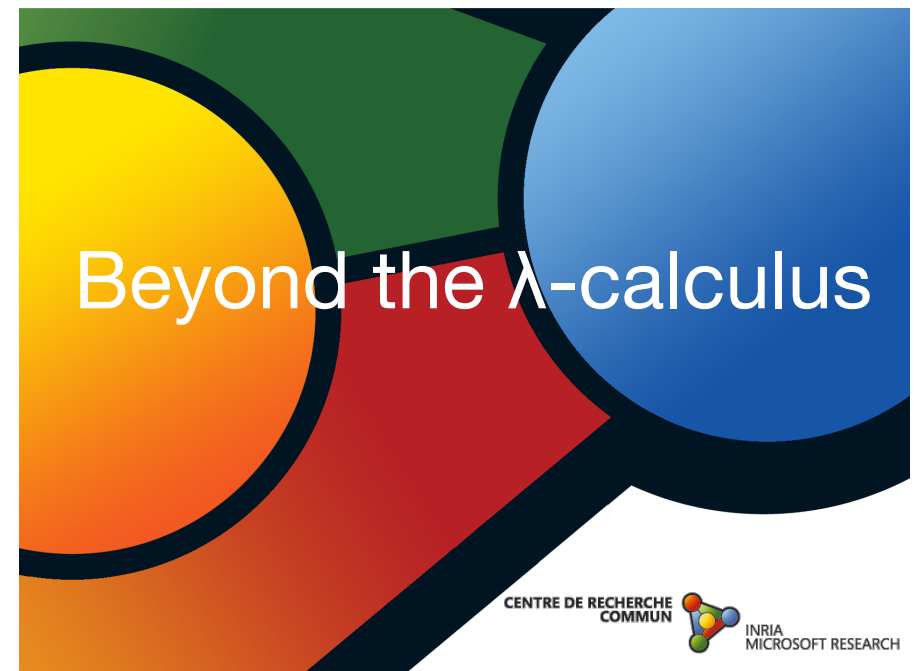
$$\rho \simeq \sigma \text{ iff } \tau\rho \simeq \tau\sigma$$

$$\rho \simeq \sigma \text{ implies } \rho\tau \simeq \sigma\tau$$

$$\rho \sqcup \sigma \simeq \sigma \sqcup \rho$$

- **Proof**

As  $\rho \simeq \sigma$ , one has  $\sigma/\rho = \emptyset^n$ . Therefore  $\tau/\rho = (\tau/\rho)/(\sigma/\rho)$ . That is  $\tau/\rho = \tau/(\rho \sqcup \sigma)$ . Similarly as  $\sigma \simeq \rho$ , one gets  $\tau/\sigma = \tau/(\sigma \sqcup \rho)$ . But cube lemma says  $\tau/(\rho \sqcup \sigma) = \tau/(\sigma \sqcup \rho)$ . Therefore  $\tau/\rho = \tau/\sigma$ .

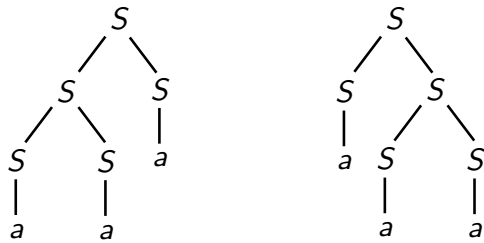




# Context-free languages

- permutations of derivations in context-free languages

$S \rightarrow SS$   
 $S \rightarrow a$



- each parse tree corresponds to an equivalence class



# Term rewriting

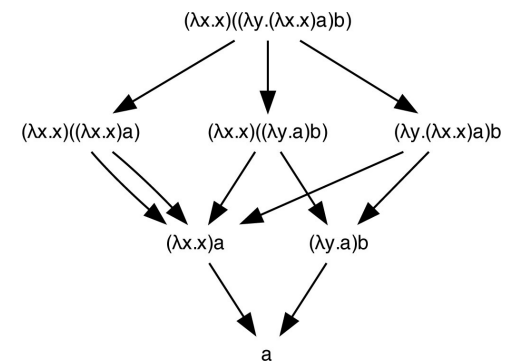
- permutations of derivations are defined with critical pairs
- critical pairs make conflicts
- only 2nd definition of equivalence works [Boudol, 1982]

# Process algebras

- similar to TRS [Boudol-Castellani, 1982]

# Exercices

- Exercice 4:** Complete all proofs of propositions
- Exercice 5:** Show equivalent reductions in



# Proof Parallel moves



## Parallel moves (1/4)

• Lemma  $M \xrightarrow{\mathcal{F}} N, P \xrightarrow{\mathcal{F}} Q \Rightarrow M\{x := P\} \xrightarrow{\mathcal{F}} N\{x := Q\}$

Proof: [exercice!](#)

• Lemma [subst]  $M\{x := N\}\{y := P\} = M\{y := P\}\{x := N\{y := P\}\}$   
when  $x$  not free in  $P$

## Parallel moves (1/4)

• Lemma  $M \xrightarrow{\mathcal{F}} N, M \xrightarrow{\mathcal{G}} P \Rightarrow N \xrightarrow{\mathcal{F}} Q, P \xrightarrow{\mathcal{G}} Q$

Proof

Case 1:  $M = x = N = P = Q$ . Obvious.

Case 2:  $M = \lambda x.M_1, N = \lambda x.N_1, P = \lambda x.P_1$ . Obvious by induction on  $M_1$

Case 3: (App-App)  $M = M_1M_2, N = N_1N_2, P = P_1P_2$ . Obvious by induction on  $M_1, M_2$ .

Case 4: (Red'-Red')  $M = (\lambda x.M_1)^a M_2, N = (\lambda x.N_1)^a N_2, P = (\lambda x.P_1)^a P_2, a \notin \mathcal{F} \cup \mathcal{G}$

Then induction on  $M_1, M_2$ .

Case 4: (beta-Red')  $M = (\lambda x.M_1)^a M_2, N = N_1\{x := N_2\}, P = (\lambda x.P_1)^a P_2, a \in \mathcal{F}, a \notin \mathcal{G}$

By induction  $N_1 \xrightarrow{\mathcal{G}} Q_1, P_1 \xrightarrow{\mathcal{F}} Q_1$ . And  $N_2 \xrightarrow{\mathcal{G}} Q_2, P_1 \xrightarrow{\mathcal{F}} Q_2$ .

By lemma,  $N_1\{x := N_2\} \xrightarrow{\mathcal{G}} Q_1\{x := Q_2\}$ . And  $(\lambda x.P_1)^a P_2 \xrightarrow{\mathcal{F}} Q_1\{x := Q_2\}$

Case 5: (beta-beta)  $M = (\lambda x.M_1)^a M_2, N = N_1\{x := N_2\}, P = P_1\{x := P_2\}, a \in \mathcal{F} \cap \mathcal{G}$

As before with same lemma.